

# Sensor Minimization Problems with Static or Dynamic Observers for Fault Diagnosis

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# Outline of the talk

- ▶ **Fault Diagnosis for Finite State Systems**
  - **Fault Diagnosis Problem**
  - **Minimization of a Static Observer**
  - **Minimization of Masks**
  
- ▶ **Fault Diagnosis with Dynamic Observers**
  - **Dynamic Observers**
  - **Diagnosability with Dynamic Observers**
  - **Synthesis of Dynamic Observers**

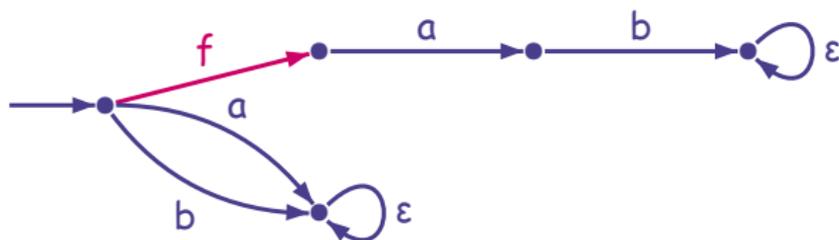
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  - Diagnosability with Dynamic Observers
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  - **Fault Diagnosis Problem**
  - **Minimization of a Static Observer**
  - **Minimization of Masks**
  
- ▶ **Fault Diagnosis with Dynamic Observers**

# Fault Diagnosis

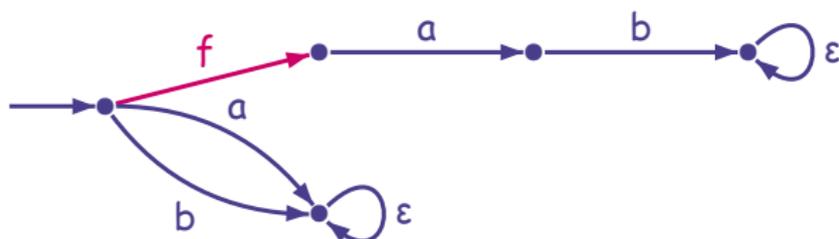


- ▶ A **finite automaton**  $A$  over  $\Sigma^{\epsilon, f} = \Sigma \cup \{\epsilon, f\}$
- ▶  $f$  is the **fault action**,  $\Sigma$  is the set of **observable events**
- ▶  $k$ -**faulty** run contain  $f$  **followed** by more than  $k$  actions
- ▶ **Non faulty** run: contains no  $f$

**Faulty** <sub>$\geq k$</sub> ( $A$ )

**NonFaulty**( $A$ )

# Fault Diagnosis



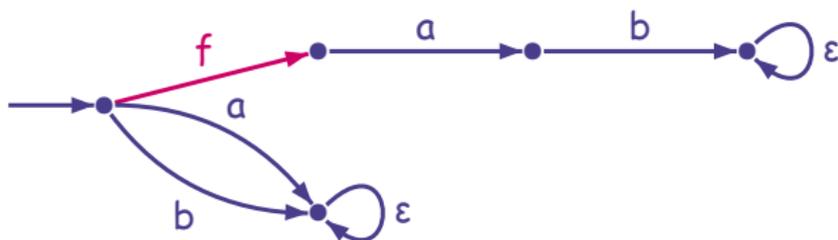
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**Faulty<sub>≥k</sub>(A)**

**NonFaulty(A)**

Aim: observe  $\Sigma^*$  sequences and **detect**  $k$ -faulty runs

# Fault Diagnosis



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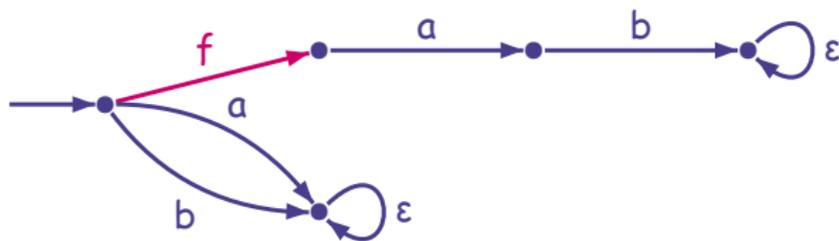
**Faulty<sub>≥k</sub>(A)**

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Role of an observer:

- ▶ **never** raise an alarm on non-faulty runs
- ▶ **must** raise an alarm on  $k$ -faulty runs

# Diagnosis Problem



$tr(\rho)$  = trace of the run  $\rho$  (it is a word in  $(\Sigma \cup \{f\})^*$ )

$\pi_{/\Sigma}(tr(\rho))$  = projection of the trace of the run on **observable events**

## Definition ( $k$ -diagnoser)

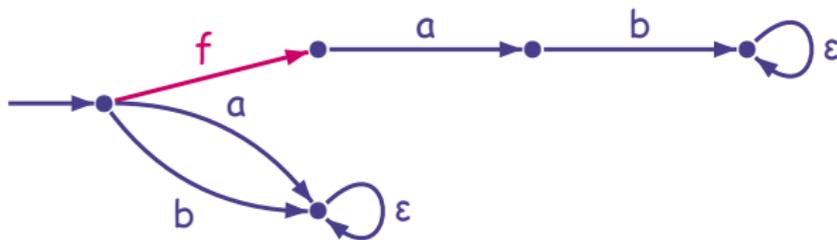
A mapping  $D : \Sigma^* \rightarrow \{0, 1\}$  is a  **$k$ -diagnoser** for  $A$  if:

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## $(\Sigma, k)$ -Diagnosability Problem

Given  $A, \Sigma, k \in \mathbb{N}$ , is there a  $k$ -diagnoser for  $A$ ?

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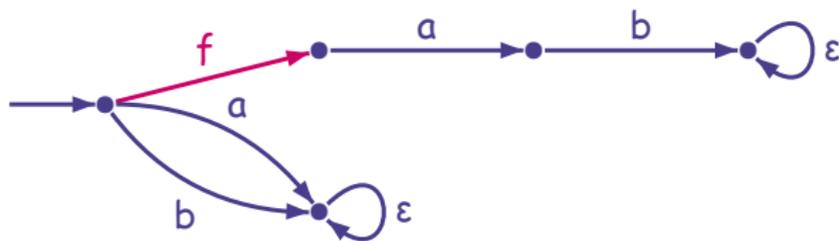
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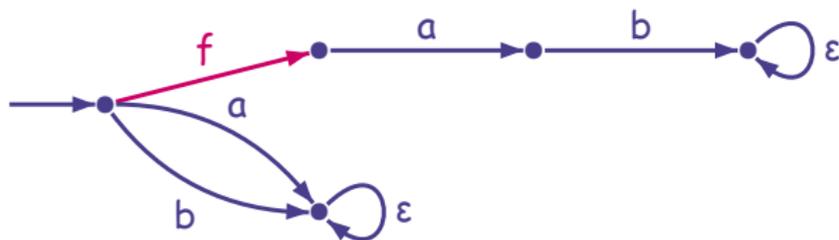
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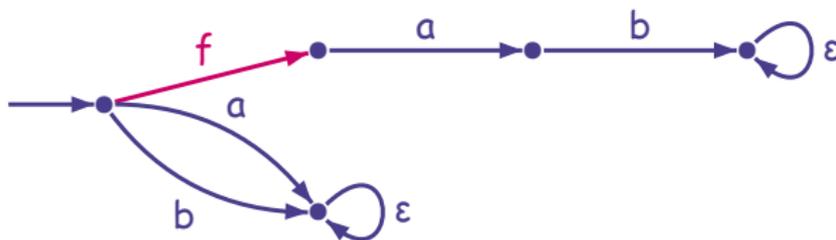
# Example



- ▶  $\Sigma = \{a, b\}$ . Is the plant 1, 2-diagnosable?
- ▶  $\Sigma = \{b\}$ . Is the plant 1, 2, k-diagnosable?

$$k\text{-Diagnosability} \iff \text{tr}(\text{Faulty}_{\geq k}(A)) \cap \text{tr}(\text{NonFaulty}(A)) = \emptyset$$

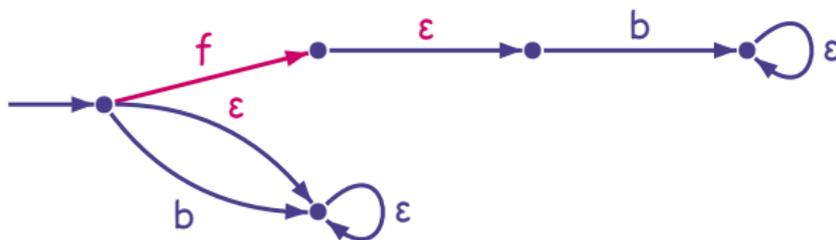
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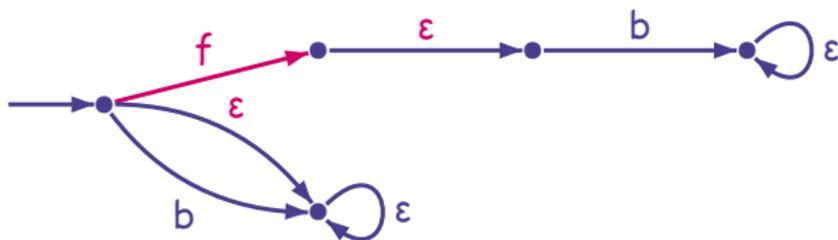
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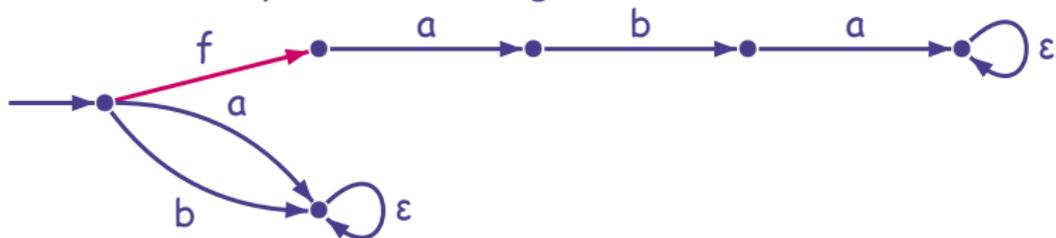
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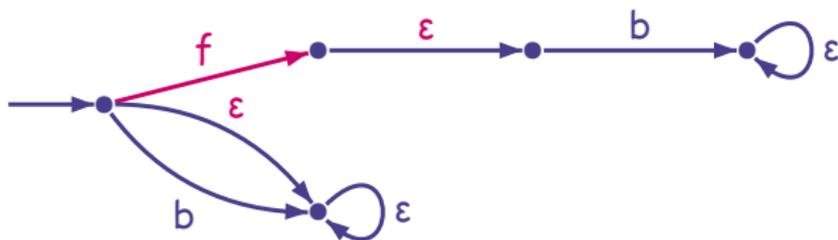
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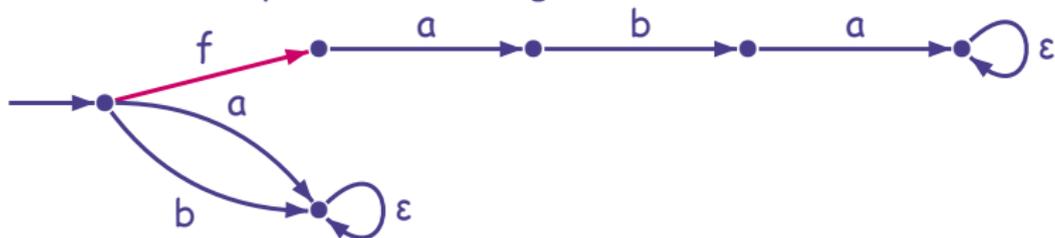
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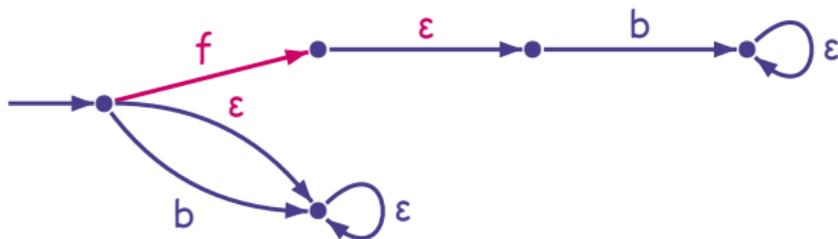
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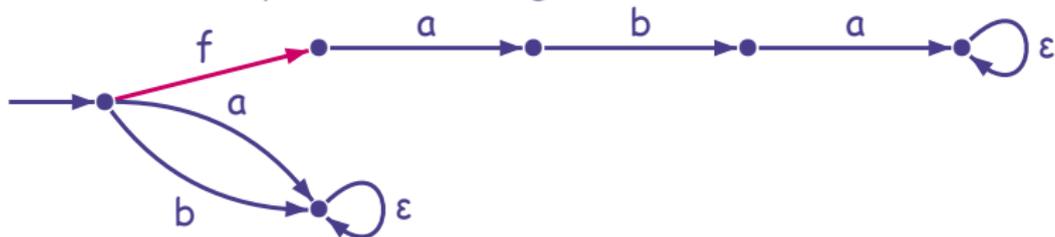
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- ▶  $\Sigma = \{a\}$ . Is the plant 1, 2-diagnosable? ... 3-diagnosable

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# Results for the Diagnosis Problem

[Sampath et al., IEEE TAC 1995, Jiang et al., IEEE TAC 2001]

$A$  is  $(\Sigma, k)$ -diagnosable if there is a  $k$ -diagnoser for  $A$ .

$A$  is  $\Sigma$ -diagnosable if  $\exists k \in \mathbb{N}$  s.t.  $A$  is  $(\Sigma, k)$ -diagnosable.

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- ▶ (A) Is  $A$   $\Sigma$ -diagnosable ?
- ▶ (B) If "yes" to (A), compute the minimum  $k$  and
- ▶ (C) Compute a witness diagnoser.

## Results for Diagnosis Problem

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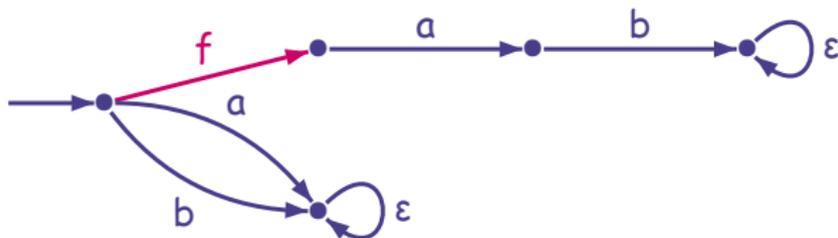
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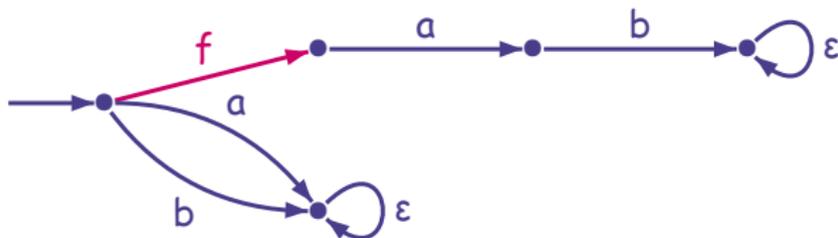
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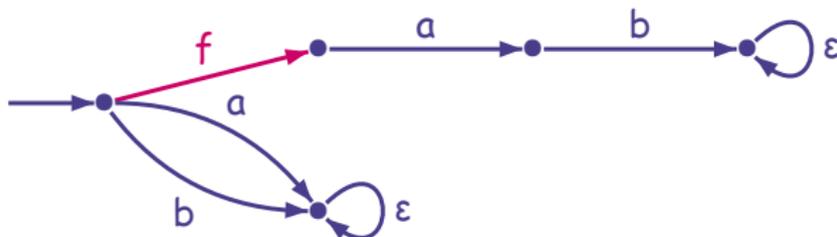
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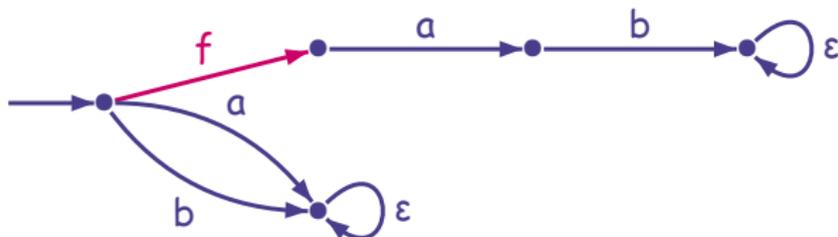
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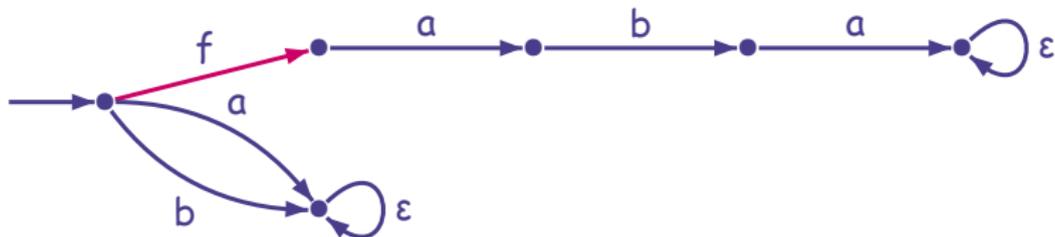


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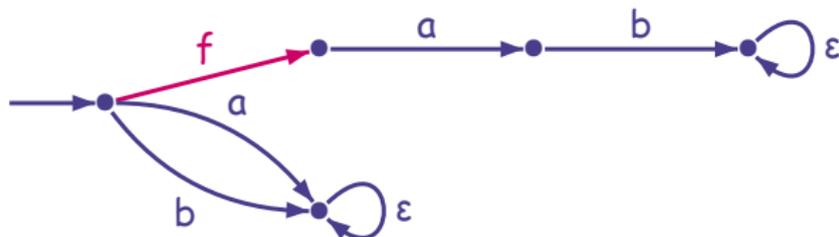


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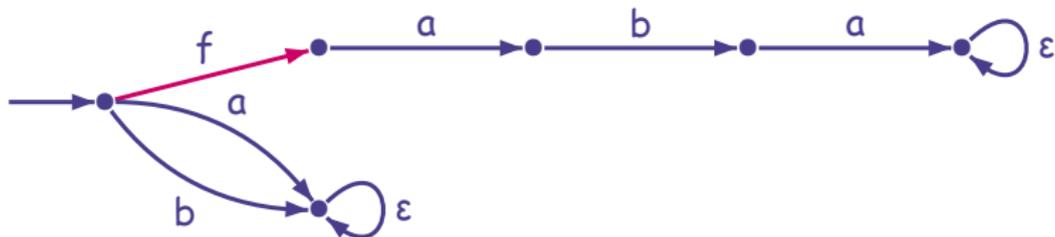


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**Problem:** Is there any  $\Sigma_0 \subseteq \Sigma$ ,  $|\Sigma_0| \leq n$ , s.t.  $A$  is  $\Sigma_0$ -diagnosable ?

## Theorem

*Problem 1 is NP-complete.*

- ▶ membership in NP: checking  $\Sigma$ -diagnosability is in P
- ▶ NP hardness: reduction of the **n-clique** problem to Problem 1.

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# Reduction of n-clique Problem to Problem 1

- ▶  $G = (V, E)$  an undirected graph
- ▶  $V' \subseteq V$  is a **clique** of  $G$  iff  $\forall v, v' \in V', (v, v') \in E$
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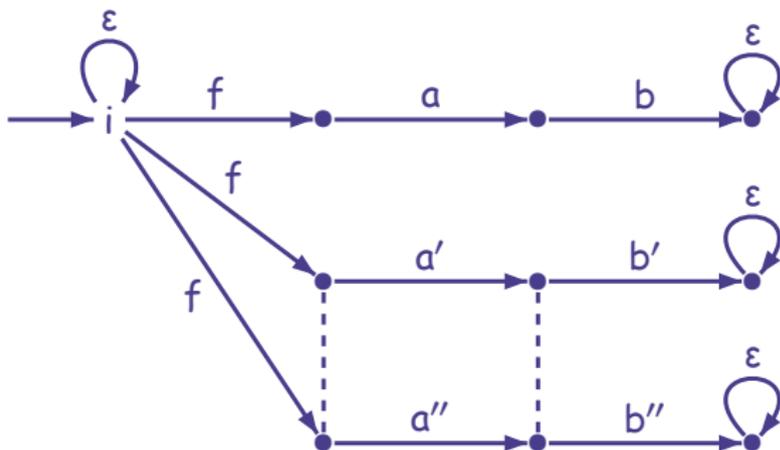
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Let  $\Sigma = V$ . For all  $(a, b), (a', b') \dots \notin E$ : define  $A_G$  over  $\Sigma^{\varepsilon, f}$  by

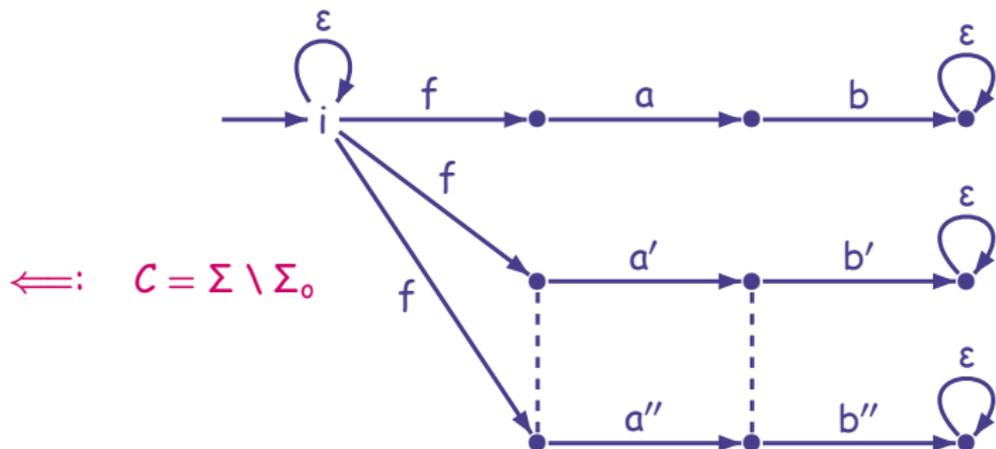


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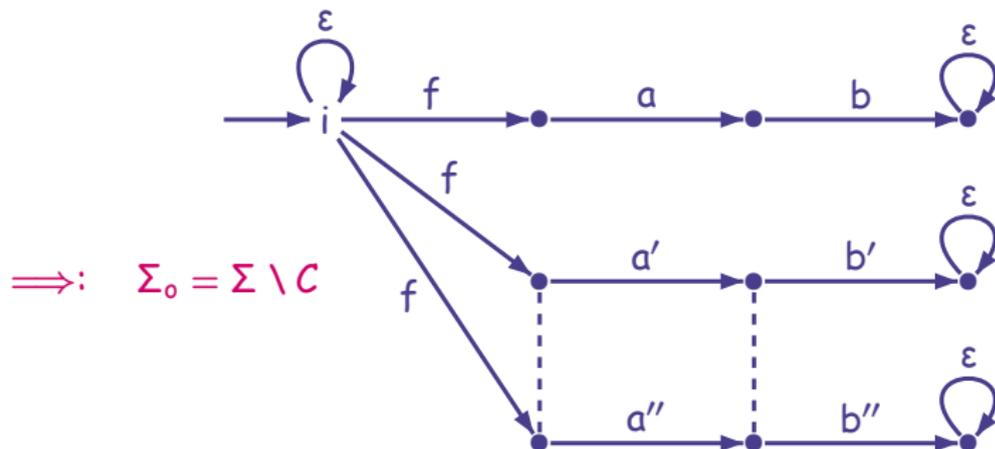


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# Variant: Renaming Observable Events

## Definition (Mask)

A **mask**  $(M, n)$  over  $\Sigma$  is a mapping  $M : \Sigma \rightarrow \{1, \dots, n\} \cup \{\varepsilon\}$ .  
 $M$  induces a mapping  $M^* : \Sigma^* \rightarrow \{1, \dots, n\}^*$ .

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# Variant: Renaming Observable Events

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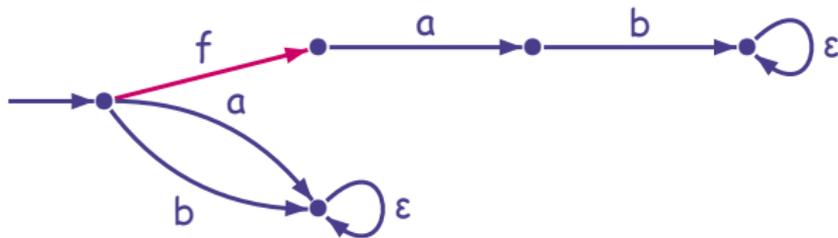
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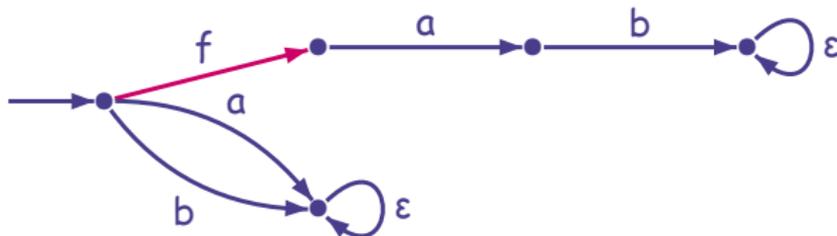
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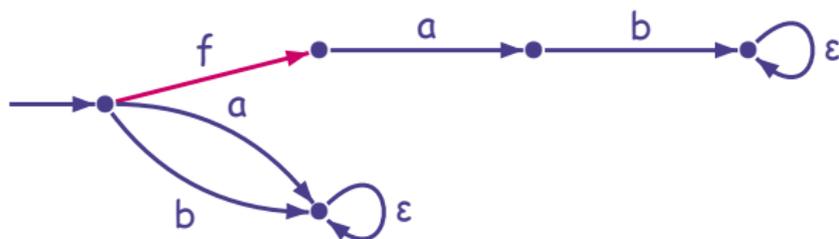
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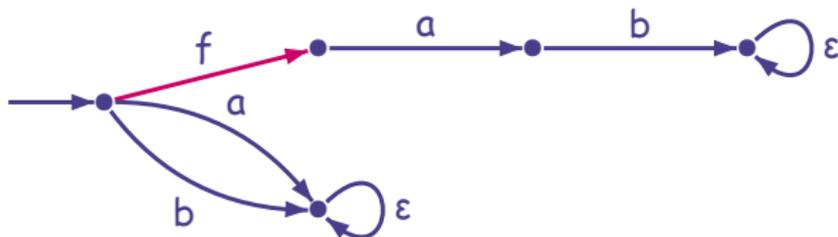
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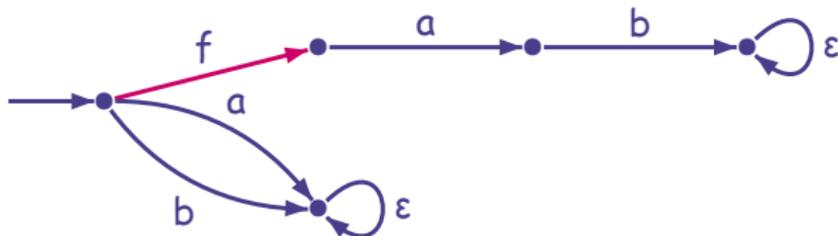
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Problem 2 is *NP-complete*.

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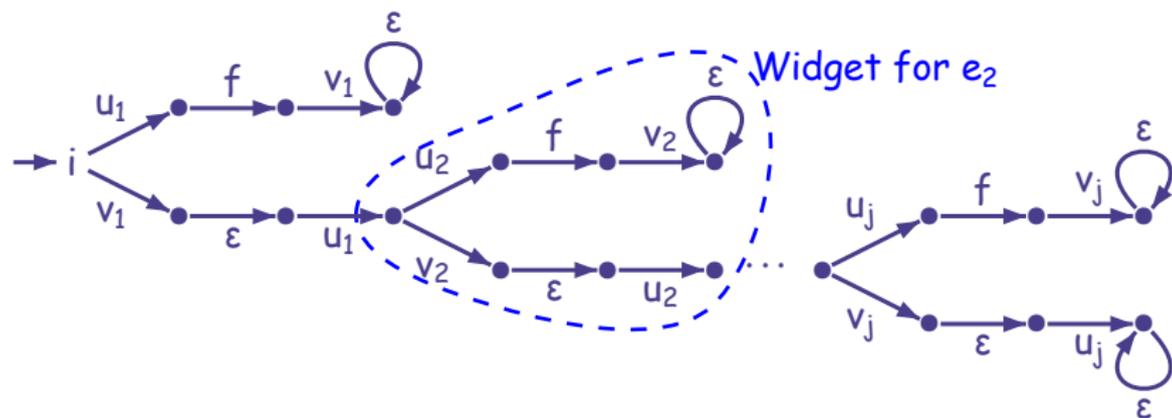
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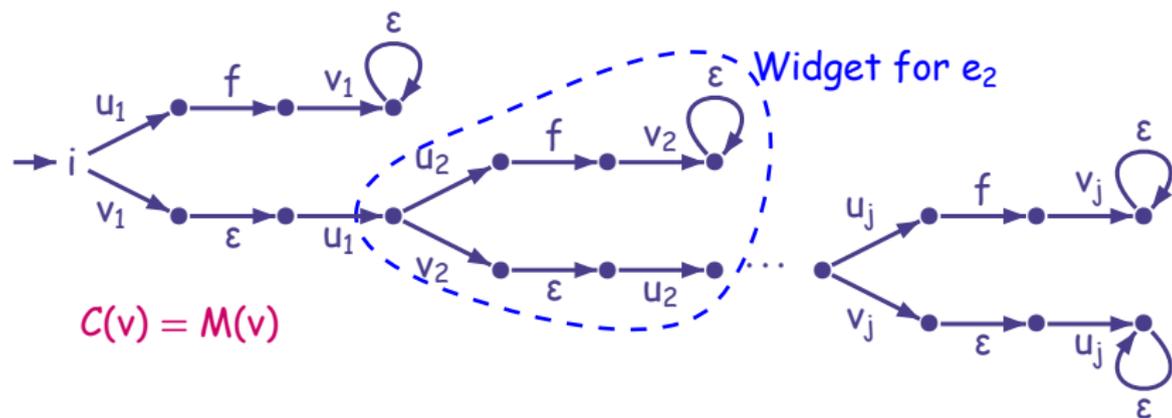


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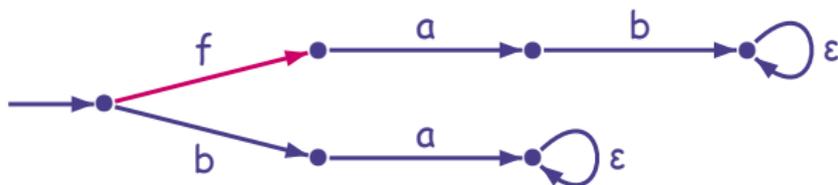
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# Outline

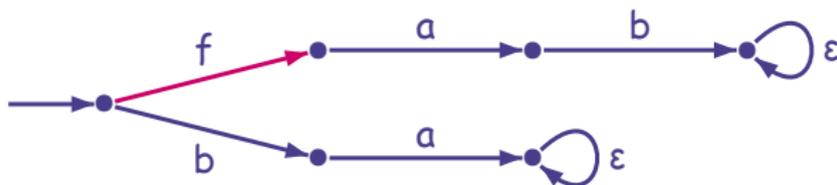
- ▶ Fault Diagnosis for Finite State Systems
- ▶ Fault Diagnosis with Dynamic Observers
  - Dynamic Observers
  - Diagnosability with Dynamic Observers
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# Why Dynamic Observations ?



- ▶ **Static** observer: fixed set of observable events
- ▶ Static observation:  $|\Sigma_o| \geq 2$ ,  $\Sigma_o = \{a, b\}$ ;  $(\{a, b\}, 1)$ -diagnosable
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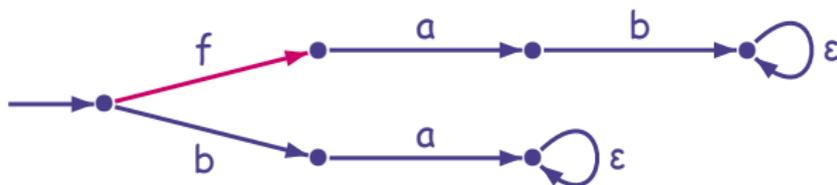


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Assume you can choose  $\Sigma_o$  **dynamically**:

Is the plant diagnosable observing only **one** event at a time ?

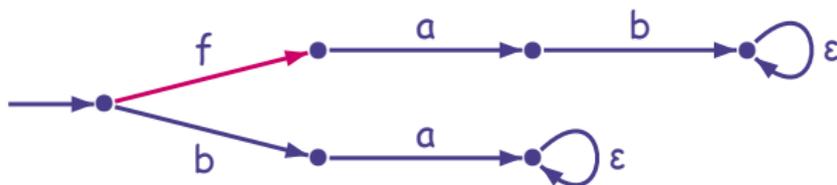
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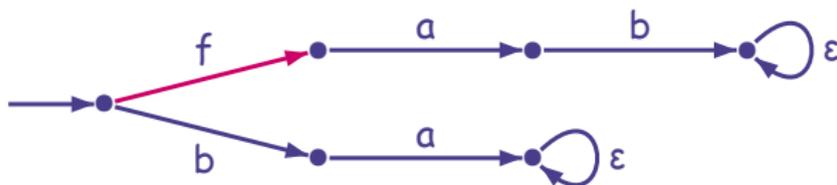
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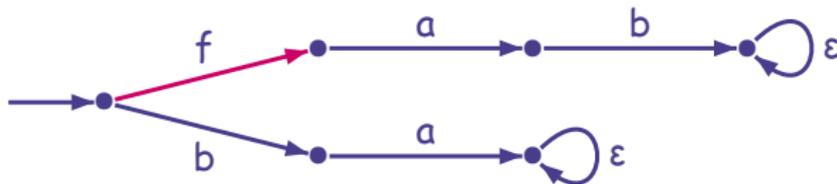
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The plant is "dynamically" **2-diagnosable**

# Dynamic Observers

## Definition (Dynamic Observer)

A **dynamic observer**  $Obs$  is a complete and deterministic labeled automaton  $(S, s_0, \Sigma, \delta, L)$  s.t.  $\forall s \in S, \forall a \in \Sigma$ , if  $a \notin L(s)$  then  $\delta(s, a) = s$ .

## Definition (( $Obs, k$ )-diagnoser)

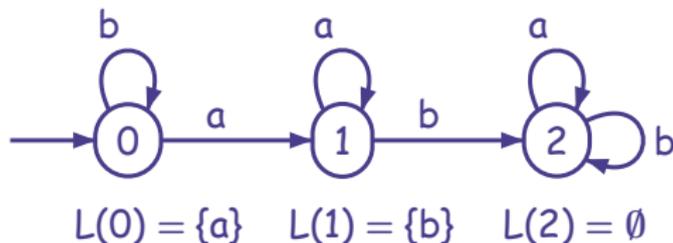
$D : \Sigma^* \rightarrow \{0, 1\}$  is an **( $Obs, k$ )-diagnoser** for  $A$  if

- ▶ for each run  $\rho \in \text{NonFaulty}(A)$ ,  $D(\text{Obs}(\pi_{/\Sigma}(tr(\rho)))) = 0$  and
- ▶ for each run  $\rho \in \text{Faulty}_{\geq k}(A)$ ,  $D(\text{Obs}(\pi_{/\Sigma}(tr(\rho)))) = 1$ .

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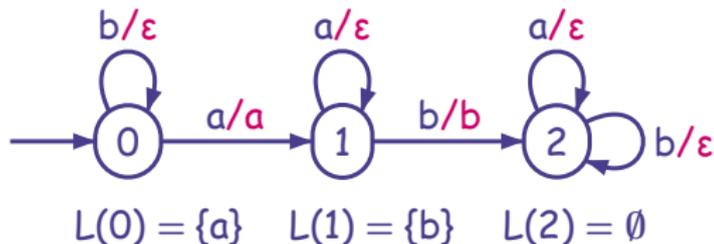
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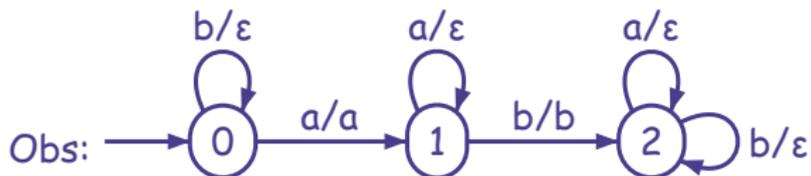


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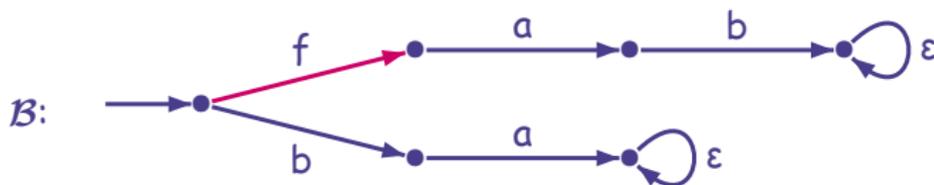
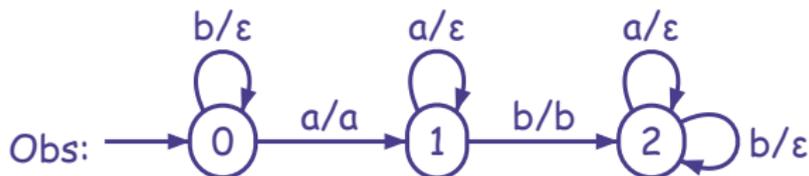


$\mathcal{B}$  is  $(\text{Obs}, 2)$ -diagnosable.

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Obs observes only **one** event in each state.

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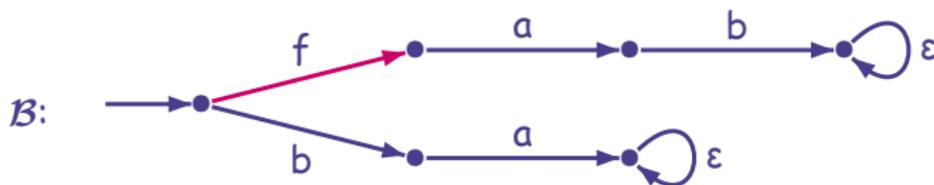
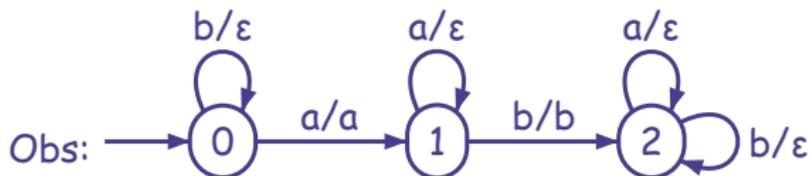


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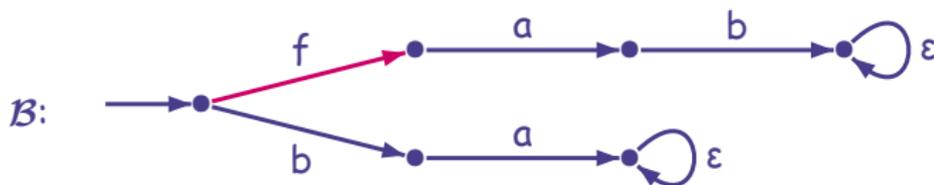
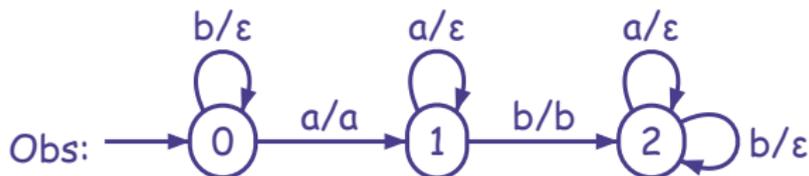


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# Checking Obs-diagnosability

**(Obs, k)-diagnosability:**  $A$  is (Obs, k)-diagnosable if there is an (Obs, k)-diagnoser for  $A$

**Obs-diagnosability:**  $A$  is Obs-diagnosable if  $\exists k \in \mathbb{N}$  s.t.  $A$  is (Obs, k)-diagnosable

## Problem 3: Finite Obs-diagnosability Problem

**Input:**  $A$ , a finite state observer Obs.

**Problem:** Is  $A$  Obs-diagnosable ?

To check **Obs-diagnosability**, build a product  $A \otimes \text{Obs}$ :

- ▶ initial state:  $(q_0, s_0)$
- ▶  $(q, s) \xrightarrow{\beta} (q', s')$  iff  $q \xrightarrow{\lambda} q'$ ,  $s \xrightarrow{M/\beta} s'$  for  $\lambda \in \Sigma$ ,
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**Input:**  $A$ .

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## Problem 5: Dynamic $k$ -Diagnosability Problem

**Input:**  $A, k \in \mathbb{N}$ .

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- ▶ Reduce Problem 5 to a **safety 2-player game**
- ▶ **Player 1** chooses what to observe
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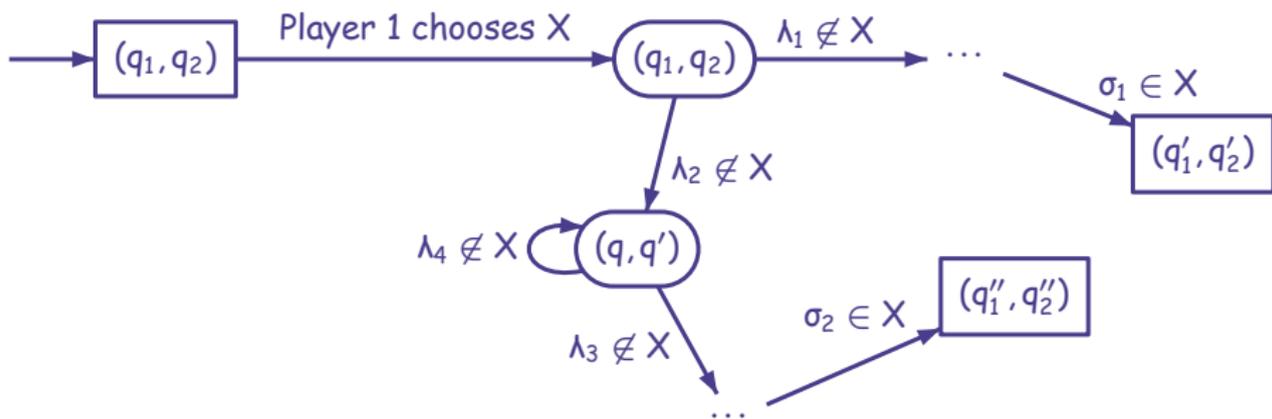
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# Results:

## Theorem

$O$  an observer s.t.  $A$  is  $(O, k)$ -diagnosable  $\implies$  there is a **corresponding** winning strategy in  $G$ .

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There is a winning strategy in  $G \implies$  there is a **corresponding** observer  $O$  s.t.  $A$  is  $(O, k)$ -diagnosable.

## Theorem (Memoryless Strategies are Sufficient)

There is a memoryless most permissive strategy for any (safety) finite game  $G$ .

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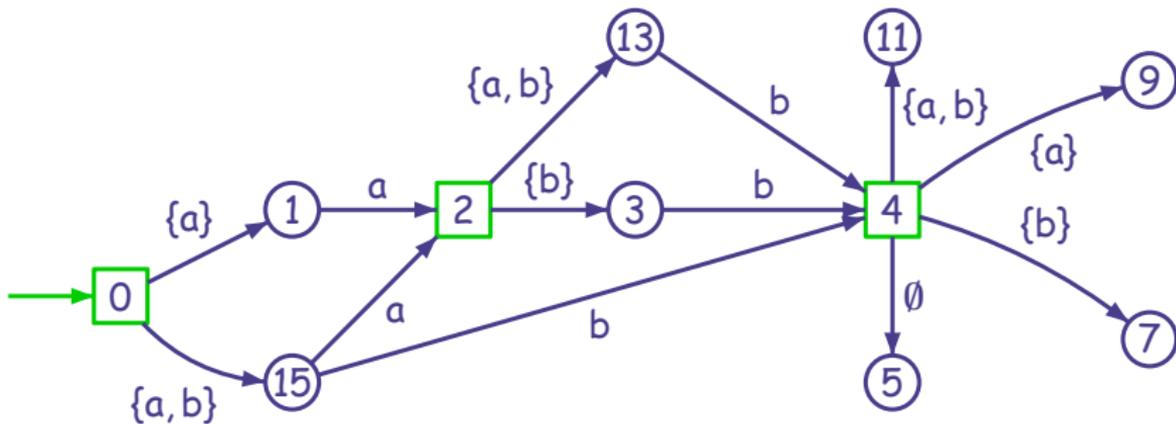
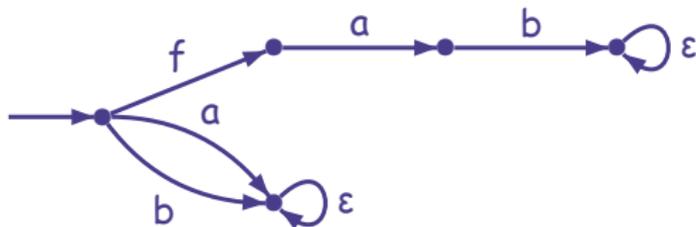
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# Example: Most Permissive Observer



# Conclusion & Future Work

## Results:

- ▶ **Dynamic** observers for bounded diagnosis (k-diagnosability)
- ▶ **Computation** of the **most permissive** observer  
[C. Tripakis Altisen, ACSD 2007]
- ▶ **Cost & computation** of the **cost** of a dynamic observers
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# Algorithm for Checking Diagnosability

Necessary and Sufficient Condition for Diagnosability:

$$\begin{aligned} A \text{ is not } \Sigma\text{-diagnosable} &\iff \forall k \in \mathbb{N}^*, A \text{ is not } (\Sigma, k)\text{-diagnosable} \\ &\iff \forall k \in \mathbb{N}^*, \begin{cases} \exists \rho \in \mathbf{NonFaulty}(A) \\ \exists \rho' \in \mathbf{Faulty}_{\geq k}(A) \\ \pi_{/\Sigma}(\rho) = \pi_{/\Sigma}(\rho') \end{cases} \end{aligned}$$

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Let  $A_1 = (Q \times \{0, 1\}, (q_0, 0), \Sigma^{\varepsilon_1}, \rightarrow_1)$  s.t.

- ▶  $(q, k) \xrightarrow{l}_1 (q', k')$  iff  $q \xrightarrow{l} q'$  and  $l \in \Sigma$  and  $k = k'$ ;
- ▶  $(q, k) \xrightarrow{\varepsilon_1}_1 (q', 1)$  iff  $q \xrightarrow{f} q'$   
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Define  $A_2 = (Q, q_0, \Sigma^{\varepsilon_2}, \rightarrow_2)$  with

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## Theorem

$\mathcal{L}^\omega(\mathcal{B}) \neq \emptyset \iff A \text{ is not } \Sigma\text{-diagnosable.}$

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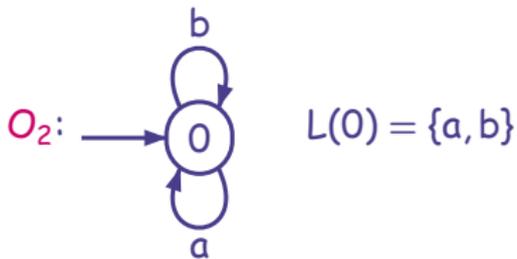
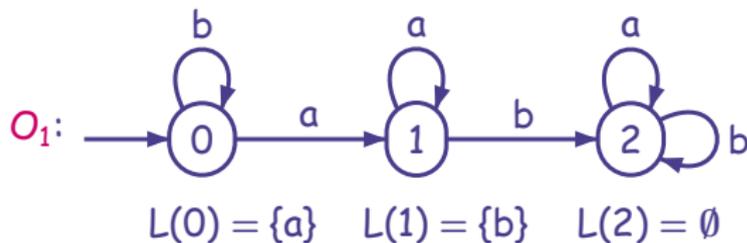
## Theorem

$\mathcal{L}^\omega(\mathcal{B}) \neq \emptyset \iff A \text{ is not } \Sigma\text{-diagnosable.}$

## Theorem

The **minimum  $k$**  s.t.  $A$  is  $(\Sigma, k)$ -diagnosable can be computed in PTIME.

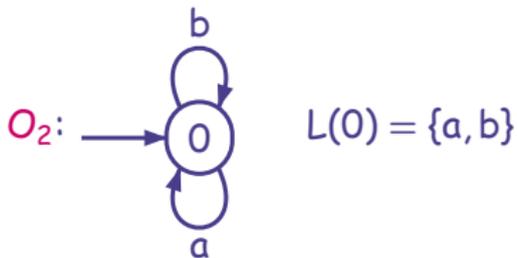
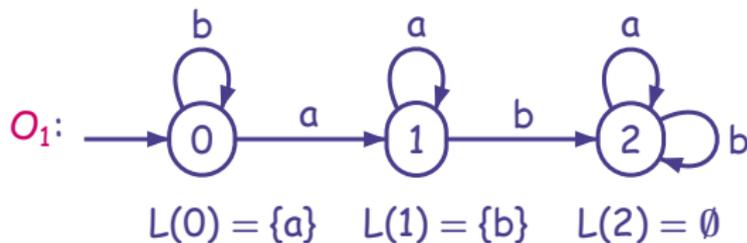
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- ▶  $O_1$  observes **less events** than  $O_2$  in the long run
- ▶  $O_1$  is **less expensive** than  $O_2$

New Problem: compute an **optimal** observer

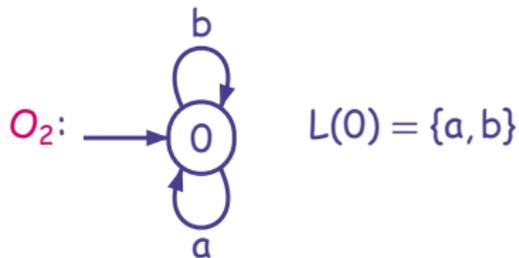
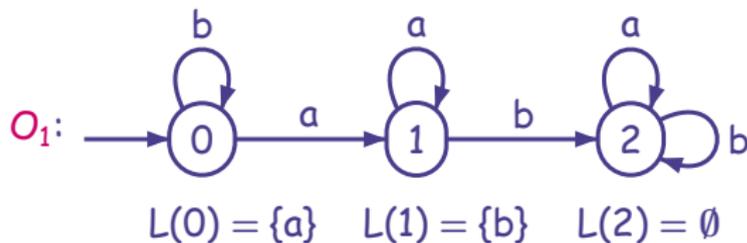
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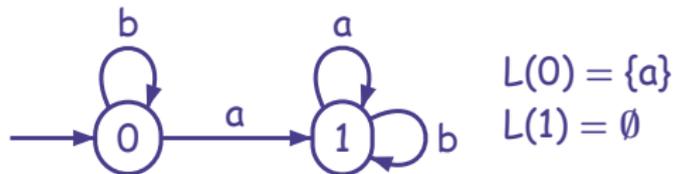


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# Cost of an Observer

**Cost of a run:** average number of events observed along a run  
Let  $\text{Obs} = (S, s_0, \Sigma, \delta, L)$



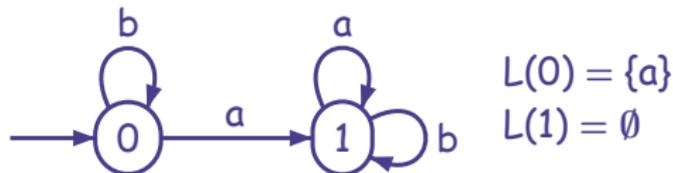
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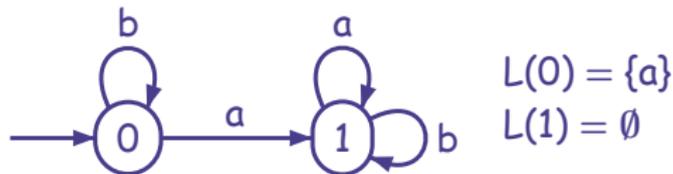
$$\text{Cost}_1(w) = \frac{\sum_{i=0}^{i=n} |L(\delta(s_0, \text{Obs}(w)(i)))|}{n+1} \text{ with } n = |\text{Obs}(w)|$$

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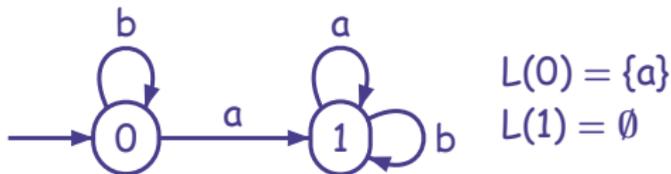
$\text{Obs}(b^n.a) = a$  and **Cost**<sub>1</sub>( $b^n.a$ ) = 1/2

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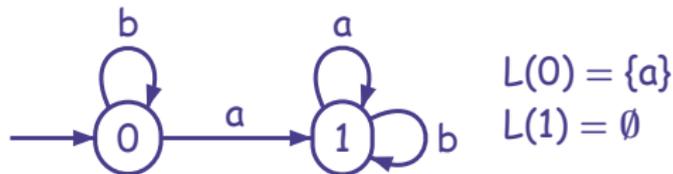
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# Computing the Cost of a Given Observer

$\rho = q_0 \xrightarrow{a_1} q_1 \cdots q_{n-1} \xrightarrow{a_n} q_n$  a **run** of the plant  $A$

Let **Obs** be an observer and  $w_i = \pi_{\Sigma}(tr(q_0 \cdots q_i))$

$$\mathbf{Cost}_2(\rho, \mathbf{Obs}) = \frac{1}{n+1} \cdot \sum_{i=0}^n |L(\delta(s_0, w_i))|$$

**Maximal Cost** of runs of length  $n$ :

$$\mathbf{Cost}_2(n, A, \mathbf{Obs}) = \max\{\mathbf{Cost}_2(\rho, \mathbf{Obs}) \text{ for } \rho \in \mathbf{Runs}^n(A)\}$$

The **Cost** of the pair  $(\mathbf{Obs}, A)$  is

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Use **Karp's Maximum Mean-Weight Cycle** Algorithm

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# Bounded Cost Observer

## Problem 2: Bounded Cost Observer

**Input:**  $A, k \in \mathbb{N}$  and  $c \in \mathbb{N}$ .

**Problem:**

- (A). Is there an observer  $Obs$  s.t.  $A$  is  $(Obs, k)$ -diagnosable and  $\mathbf{Cost}_2(Obs) \leq c$  ?
- (B). If the answer to (A) is "yes", compute a **witness observer**  $Obs$  with  $\mathbf{Cost}_2(Obs) \leq c$ .

**Steps** to Solve Problem 2:

- ▶ Step 1: Compute the **most liberal** observer  $O$ ;  
*i.e.* obtain a representation of the set of all observers
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**Theorem** ([C. Tripakis Altisen, ACSD 2007])

There is a **finite state most permissive observer** for  $A$ .

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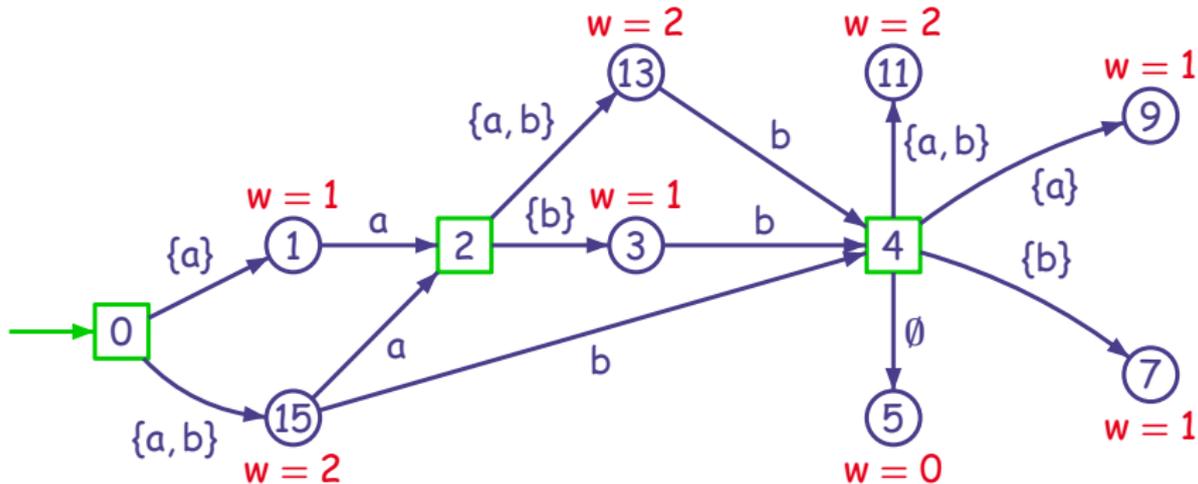
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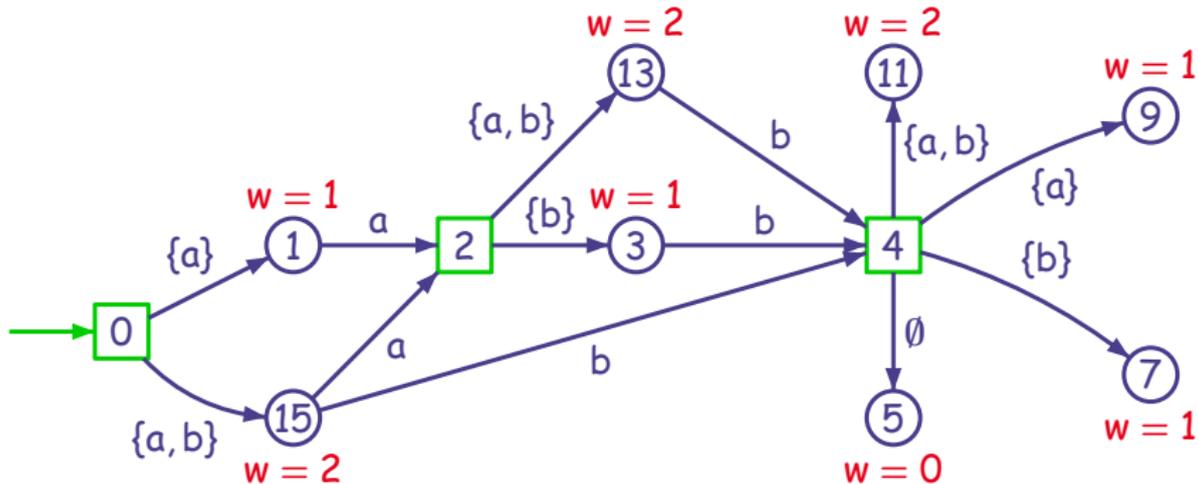
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# Optimal Dynamic Observer/Two-Player Game



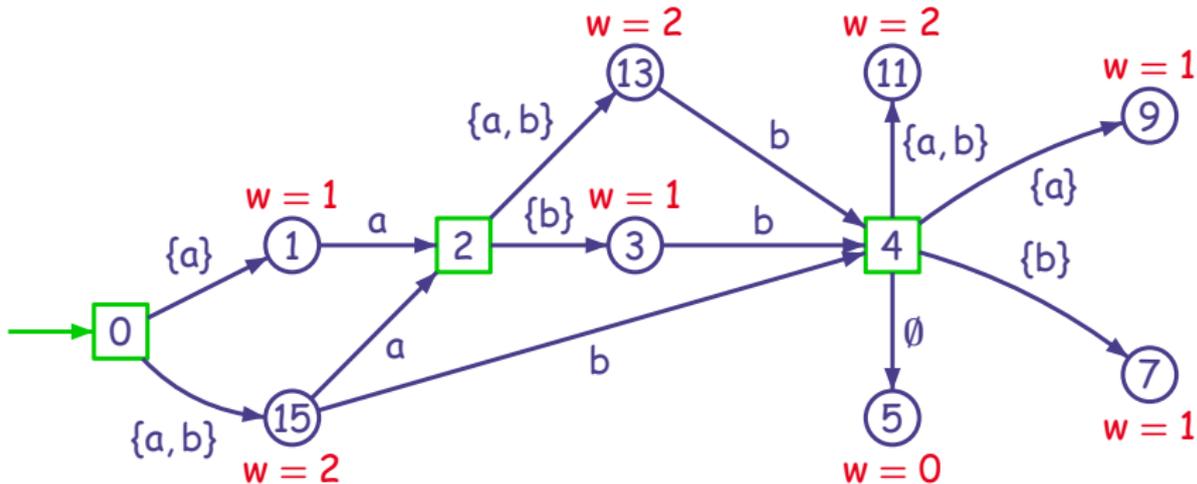
- ▶ Player 1 chooses what to observe:  $X$  / Player 2 generates  $p, l \in X$
- ▶ Player 1 and 2 produce plays
- ▶ given a strategy for Player 1 and the moves of Player 2  
 $w(p)$  is the sum of the weights  $w_1 w_2 \cdots w_n$  of the play  $p$   
 $Cost_2(p, Obs) = w(p) / (|p| + 1)$
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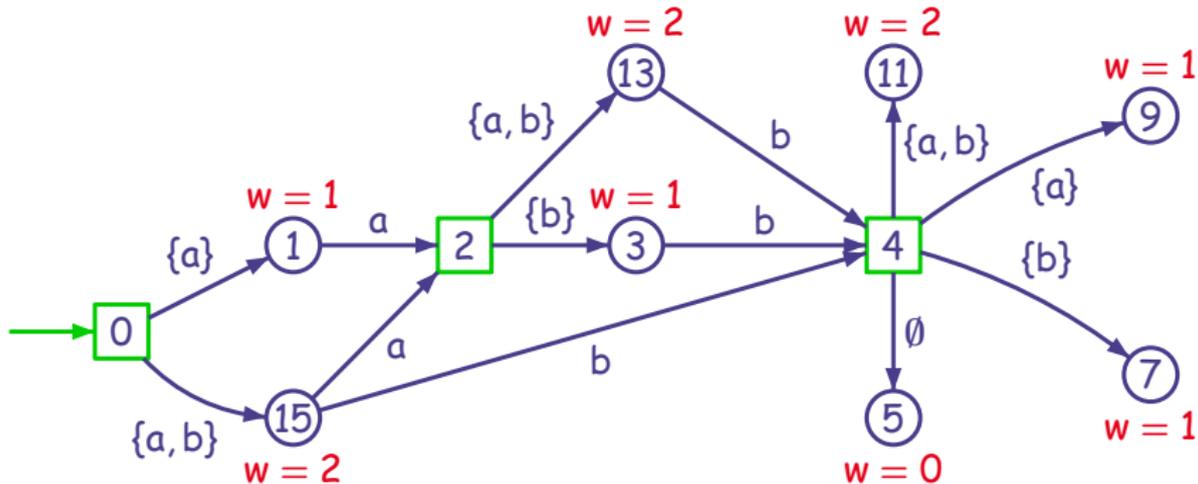
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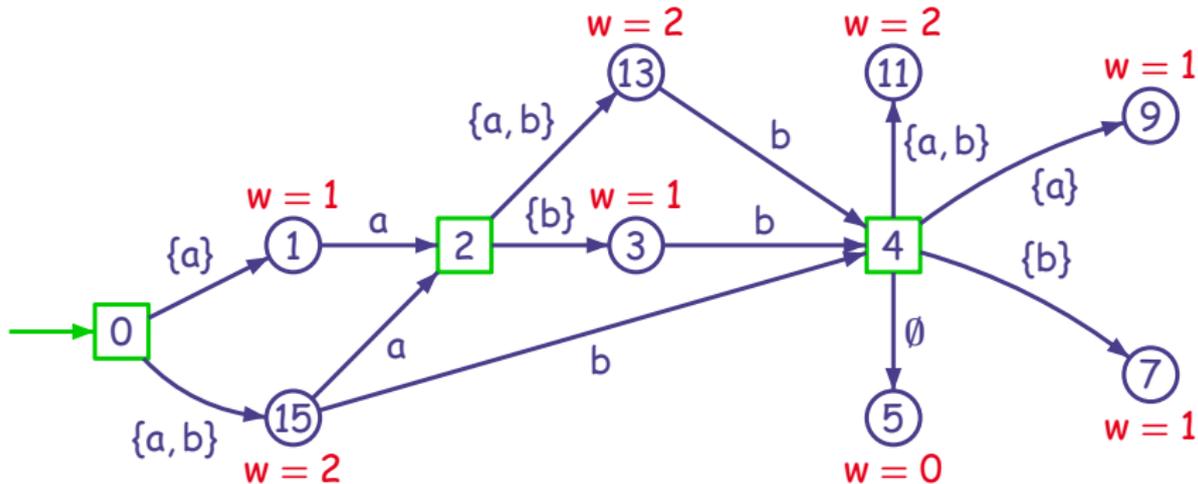
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- ▶ **Weighted** two-player games
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  - 1 Compute the **most liberal observer**  $O$
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  - ▶  $v$  can be **effectively** computed
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- ▶ **Weighted** two-player games
- ▶ Each state  $s$  has a **weight**  $w(s)$   
can be done with weight on edges
- ▶ Goal of the Players:
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